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# Hardy Inequality, Compact Embeddings and Properties of Certain Eigenvalue Problems

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Radial solutions :

$$(1) \quad \begin{cases} -\operatorname{div}(a(|x|)\nabla u) = \lambda b(|x|)u & \text{in } B_R(0) \\ u = 0 & \text{on } \partial B_R(0) \end{cases}$$

eigenvalues, eigenfunctions

$a(|x|), b(|x|)$  degenerate / blow up near the bdry

$$a(|x|) = (R-|x|)^\alpha \quad b(|x|) = (R-|x|)^\beta$$

$\alpha, \beta > 0$  degenerate       $\alpha, \beta < 0$  blow up

spherical coordinates :

$$(2) \quad \begin{cases} -(\kappa^{N-1}(R-r)^\alpha u')' = \lambda (R-r)^\beta u & \text{in } (0, R) \\ u'(0) = u(R) = 0 \end{cases}$$

(2) is a special case of:

$$(3) \begin{cases} \left( (\rho(t) |u'(t)|^{p-2} u'(t))' + \lambda \sigma(t) |u(t)|^{p-2} u(t) \right) = 0, t \in (a, b) \\ \lim_{t \rightarrow a^+} \rho(t) |u'(t)|^{p-2} u'(t) = \lim_{t \rightarrow b^-} u(t) = 0 \end{cases}$$

$p > 1$ ,  $-\infty \leq a < b \leq +\infty$   $\rho, \sigma$  continuous, positive

$\forall x \in (a, b)$ :  $\sigma \in L^1(a, x)$ ,  $\rho^{1-p} \in L^1(x, b)$

**NOTE:**  $\sigma, \rho^{1-p} \notin L^1(a, b)$  in general!

Weighted spaces:

$$L^p(\sigma) : \|u\|_{p; \sigma} = \left( \int_a^b \sigma(t) |u(t)|^p dt \right)^{\frac{1}{p}} < \infty$$

$$W_b^{1,p}(\rho) : \begin{array}{l} u \text{ absolutely cont. on every compact subint. } (a, b) \\ u(b) = 0 \text{ and} \\ \|u\|_{1,p; \rho} = \left( \int_a^b \rho(t) |u'(t)|^p dt \right)^{\frac{1}{p}} < \infty \end{array}$$

$$W_b^{1,p}(\rho) \hookrightarrow L^p(\sigma) \Leftrightarrow \sup_{t \in (a,b)} \left( \int_a^t \sigma(\tau) d\tau \right) \left( \int_t^b \rho(\tau) d\tau \right)^{p-1} < \infty$$

$$W_b^{1,p}(\rho) \hookrightarrow\hookrightarrow L^p(\sigma) \Leftrightarrow \underbrace{\lim_{\substack{t \rightarrow a^+ \\ t \rightarrow b^-}} \left( \int_a^t \sigma(\tau) d\tau \right) \left( \int_t^b \rho(\tau) d\tau \right)^{p-1}}_{(4)} = 0$$

THEOREM (P.D. + K. Kuliev): (i)  $\Leftrightarrow$  (ii)  $\Leftrightarrow$  (iii)

(i) (3) has "Sturm-Liouville" property

(ii)  $W_b^{1,p}(\rho) \hookrightarrow\hookrightarrow L^p(\sigma)$

(iii) (4) hold true

$u = u(t)$  is **nonoscillatory** solution of  
eq. (3) :

$\exists \bar{a}, \bar{b} \in (a, b) : u(t) \neq 0 \quad \forall t \in (a, \bar{a}) \cup (\bar{b}, b)$   
 $u$  is called **oscillatory** otherwise

Eq. (3) is called **nonoscillatory** if any of  
its solutions is nonoscillatory.

Let

$$\limsup_{\substack{t \rightarrow a_+ \\ t \rightarrow b_-}} \left( \int_a^t \sigma(\tau) d\tau \right) \left( \int_t^b \rho^{1-p'}(\tau) d\tau \right)^{p-1} < \frac{1}{\lambda} \cdot \frac{(p-1)^{p-1}}{p^p}$$

$t \rightarrow b_-$



Eq. (3) is nonoscillatory

Let

$$\limsup_{\substack{t \rightarrow a_+ \\ t \rightarrow b_-}} \left( \int_a^t r(\tau) d\tau \right) \left( \int_t^b \rho^{1-p'}(\tau) d\tau \right)^{p-1} > \frac{1}{\lambda}$$



any solution of (3) is oscillatory

[cf. O. Došlý: Czech. Math. J. 50 (2000), 657-671]

$$\lim_{\substack{t \rightarrow a_+ \\ t \rightarrow b_-}} \left( \int_a^t \sigma(\tau) d\tau \right) \cdot \left( \int_t^b \rho^{1-p'(\tau)} d\tau \right)^{p-1} = 0 \Rightarrow$$

$\Rightarrow \forall \lambda > 0$  eq. (3) is nonoscillatory

$$\lim_{t \rightarrow a_+} \left( \int_a^t \sigma(\tau) d\tau \right) \left( \int_t^b \rho^{1-p'(\tau)} d\tau \right)^{p-1} \neq 0$$

or

$$\lim_{t \rightarrow b_-} \text{---} \text{---} \text{---} \neq 0 \Rightarrow$$

$$\Rightarrow \exists \lambda_0 > 0 \forall \lambda \geq \lambda_0$$

any solution of eq. (3) is oscillatory



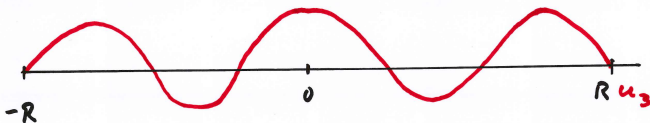
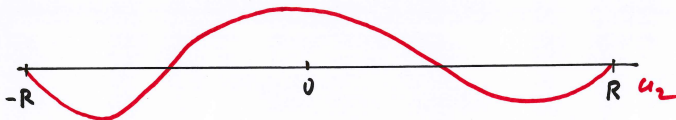
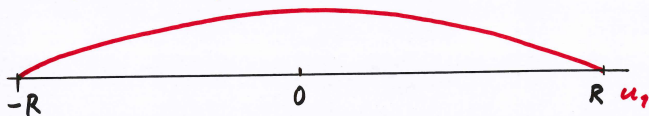
$$W_b^{1,p}(\rho) \hookrightarrow L^p(\sigma) \Leftrightarrow \sup_{t \in (a,b)} \left( \int_a^t \sigma(\tau) d\tau \right) \left( \int_t^b \rho^{1-p'}(\tau) d\tau \right)^{p-1} < \infty$$

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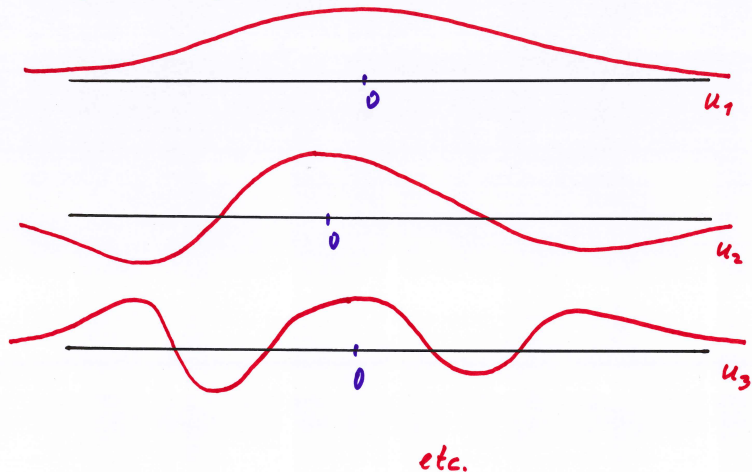
- (i) (3) has "Sturm-Liouville" property
- (ii)  $W_b^{1,p}(\rho) \hookrightarrow\hookrightarrow L^p(\sigma)$
- (iii) (4) hold true

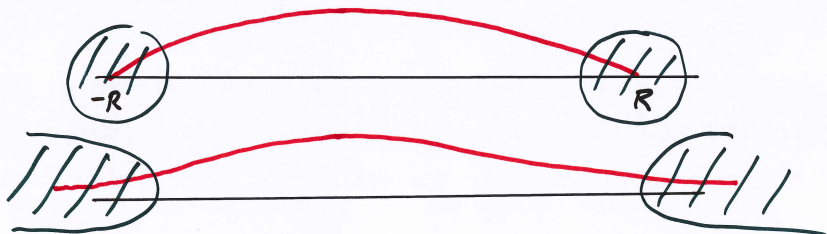
Profile of radial eigenfunctions:  $R < +\infty$



etc.

Profile of eigenfunctions :  $R = +\infty$





We concentrate on the **rate of the decay** of eigenfunctions near  $R < +\infty$  and near  $+\infty$ .

"How the degeneration/blow up of the coefficient corresponds to the Hopf-type maximum principle at the boundary  $\partial B_R(0)$ ?"

P. D. + A. Kufner + K. Kuliev: Proc. Steklov Inst. Math.  
2014 (Vol. 284), 148-154

Let  $\exists \varepsilon \in (0, p-1)$ ,  $c > 0$  :  $\forall t \in (a, b)$

$$(5) \quad \left( \int_a^t \sigma(\tau) d\tau \right) \left( \int_t^b \rho^{1-p'}(\tau) d\tau \right)^{p-1} \leq c \left( \int_t^b \rho^{1-p'}(\tau) d\tau \right)^\varepsilon.$$

Then  $\exists c_1, c_2 > 0 \exists \bar{b} \in (a, b)$  :  $\forall t \in (\bar{b}, b)$  :

$$(6) \quad c_1 \left( \int_t^b \rho^{1-p'}(\tau) d\tau \right) \leq |u(t)| \leq c_2 \left( \int_t^b \rho^{1-p'}(\tau) d\tau \right).$$

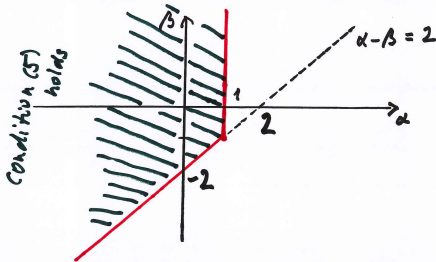
In other words: " The asymptotics of  $u(t)$  near  $b$   
is given by  $\int_t^b \rho^{1-p'}(\tau) d\tau$ ."

Consider the problem

$$\begin{cases} -\operatorname{div} \left( (R-|x|)^\alpha \nabla u \right) = \lambda (R-|x|)^\beta u & \text{in } B_R(0) \\ u = 0 & \text{on } \partial B_R(0) \end{cases}$$

Condition (5) is satisfied if

$$\beta < -1 \text{ and } \alpha - \beta < 2 \text{ or } \beta \geq -1 \text{ and } \alpha < 1$$

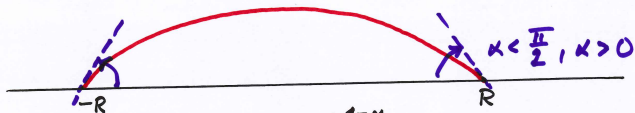


$$(6) \Rightarrow \exists \bar{R} \in (0, R) \quad \forall x \in B_{\bar{R}}(0) : |x| \in (\bar{R}, R) \\ \exists c_1, c_2 > 0$$

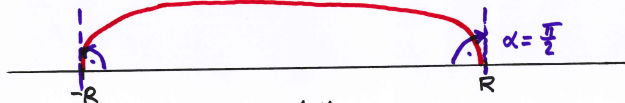
$$c_1 (R - |x|)^{1-\alpha} \leq |u(x)| \leq c_2 (R - |x|)^{1-\alpha}$$

This estimate generalizes (for  $\alpha \neq 0$ ) and recovers (for  $\alpha = 0$ ) Hopf maximum principle at  $\partial B_R(0)$ .

$\alpha = 0 \Rightarrow u(x) \approx (R - |x|)$  as  $x \rightarrow \partial B_R(0)$  :



$\alpha \in (0, \frac{\pi}{2}) \Rightarrow u(x) \approx (R - |x|)^{1-\alpha}$  as  $x \rightarrow \partial B_R(0)$



$\alpha < 0 \Rightarrow u(x) \approx (R - |x|)^{1-\alpha}$  as  $x \rightarrow \partial B_R(0)$





$$\alpha = 0 \quad \Rightarrow \quad \frac{\partial u}{\partial \nu} < 0 \quad \text{Hopf m.p.}$$

$\alpha \in (0, 1)$  , i.e. coefficient degenerates towards the boundary

$$\Rightarrow \frac{\partial u}{\partial \nu} = -\infty$$

$\alpha < 0$  , i.e. coefficient is singular (blows up) towards the boundary

$$\Rightarrow \frac{\partial u}{\partial \nu} = 0$$

P. D. + A. Kufner + K. Kuliev: Proc. Steklov Inst. Math.  
2014 (Vol. 284), 148-154

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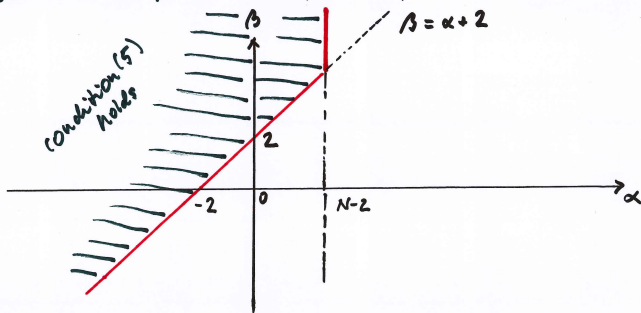
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In other words: " The asymptotics of  $u(t)$  near  $b$   
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$$\begin{cases} -\operatorname{div} \left( \frac{1}{(1+|x|)^\alpha} \nabla u \right) = \lambda \frac{1}{(1+|x|)^\beta} u & \text{in } \mathbb{R}^N \\ \lim_{|x| \rightarrow \infty} u(x) = 0 \end{cases}$$

Condition (5) is satisfied if  $\alpha + 2 < \min\{N, \beta\}$



$$(6) \Rightarrow \exists \bar{R} > 0 \exists c_1, c_2 > 0 \forall x \in \mathbb{R}^N \setminus B_{\bar{R}}(0)$$

$$\frac{c_1}{|x|^{N-(\alpha+2)}} \leq |u(x)| \leq \frac{c_2}{|x|^{N-(\alpha+2)}}$$

In particular, if

$$\alpha = 0 \text{ and } \beta > 2 : \quad u(x) \approx \frac{c}{|x|^{N-2}} \text{ , } |x| \rightarrow \infty$$

$$\alpha < -2 \text{ and } \beta = 0 : \quad u(x) \approx \frac{c}{|x|^{N-(\alpha+2)}} \text{ , } |x| \rightarrow \infty$$

$$W_b^{1,p}(\rho) \hookrightarrow L^p(\sigma) \Leftrightarrow \sup_{t \in (a,b)} \left( \int_a^t \sigma(\tau) d\tau \right) \left( \int_t^b \rho^{1-p'}(\tau) d\tau \right)^{p-1} < \infty$$

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Let  $\exists \varepsilon \in (0, p-1)$ ,  $c > 0$  :  $\forall t \in (a, b)$

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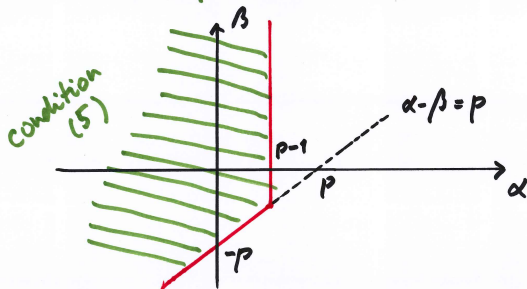
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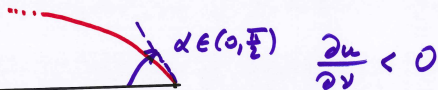
$$(6) \Rightarrow \exists \bar{R} \in (0, R) \quad \forall x \in B_R(0) \setminus B_{\bar{R}}(0) \\ \exists c_1, c_2 > 0$$

$$c_1 (R - |x|)^{1 - \frac{\alpha}{p-1}} \leq |\mu(x)| \leq c_2 (R - |x|)^{1 - \frac{\alpha}{p-1}}$$

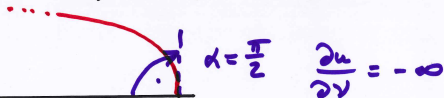
This estimate generalizes (for  $\alpha \neq 0$ ) and recovers (for  $\alpha = 0$ ) Liouville's maximum principle at  $\partial B_R(0)$ .



$$\alpha = 0 \Rightarrow u(x) \approx (R - |x|), \quad x \rightarrow \partial B_R(0)$$

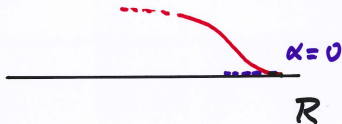


$$\alpha \in (0, \pi - 1) \Rightarrow u(x) \approx (R - |x|)^{1 - \frac{\alpha}{\pi-1}} R, \quad x \rightarrow \partial B_R(0)$$



$$\alpha < 0 \Rightarrow \dots$$

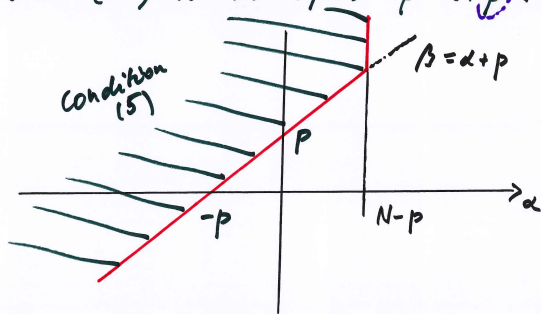
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$$\frac{c_1}{|x|^{\frac{N-(\alpha+p)}{p-1}}} \leq |u(x)| \leq \frac{c_2}{|x|^{\frac{N-(\alpha+p)}{p-1}}}$$

In particular, if

$$\alpha = 0 \text{ and } \beta > p: \quad u(x) \approx \frac{c}{|x|^{\frac{N-p}{p-1}}}$$

$$\alpha < -p \text{ and } \beta = 0: \quad u(x) \approx \frac{c}{|x|^{\frac{N-(\alpha+p)}{p-1}}}$$



**Thank you!**